

Certification for Late Rollover Contribution

Use this form to certify that you have missed the 60-day rollover deadline for a rollover contribution due to the reason(s) listed below, pursuant to IRS Revenue Procedure 2020-46. This form can be used to deposit the late rollover contribution OR to update the tax reporting on a previous late rollover contribution. You should retain a copy of this signed certification with your tax records. Type on screen or fill in using CAPITAL letters and black ink.

1. Account Owner

Name		Fidelity IRA Number	
Daytime Phone		Extension	

2. Funding Your Rollover Contribution

Indicate the amount and the method of funding for your rollover contribution below.

Intended Rollover Contribution Amount
\$

Check only one. The above rollover contribution amount has already been deposited into the Fidelity IRA listed in Section 1. [▶ Skip to Section 4.](#)

Date of Deposit MM DD YYYY

- By a check included with this form. [▶ Skip to Section 4.](#)
- Transfer from another account per the instructions provided in Section 3.

3. Transfer Instructions *Complete ONLY if the rollover contribution will need to be transferred from another account.*

Check only one. Transfer from a Fidelity nonretirement brokerage account. *You must be an owner of this account. Funds will be taken from the core position.*

Fidelity Nonretirement Account Number

Transfer from a Fidelity nonretirement mutual fund only account. *The first three characters of the account number are 2 followed by two letters (example: 2AB-123456). You must be an owner of this account.*

Fidelity Nonretirement Account Number	Fidelity Fund Name or Symbol <i>Required</i>
---------------------------------------	--

Electronic funds transfer (EFT) from a bank or credit union account using EFT instructions already established on the account (cash only). ***This form cannot be used to set up EFT. To add EFT to an account, go to Fidelity.com/eft or complete the Electronic Funds Transfer (EFT) Authorization form.***

Bank Account Number

Form continues on next page. ▶▶

4. Reason(s) for Late Contribution

Indicate the reason(s) the 60-day rollover deadline was missed. Check all that apply.

- An error was committed by the financial institution making the distribution or receiving the contribution.
- The distribution was in the form of a check and the check was misplaced and never cashed.
- The distribution was deposited into and remained in an account that I mistakenly thought was a retirement plan or IRA.
- My principal residence was severely damaged.
- One of my family members died.
- I or one of my family members was seriously ill.
- I was incarcerated.
- Restrictions were imposed by a foreign country.
- A postal error occurred.
- The distribution was made on account of an IRS levy and the proceeds of the levy have been returned to me.
- The party making the distribution delayed providing information that the receiving plan or IRA required to complete the rollover, despite my reasonable efforts to obtain the information.
- The distribution was made to a state unclaimed property fund.

5. Signature and Date

By signing below, you:

- Certify that you missed the 60-day rollover deadline for the reason(s) listed above, pursuant to IRS Revenue Procedure 2020-46.
- Certify that you are making this contribution as soon as practicable after the reason(s) listed above no longer prevent you from making the contribution.
- Understand that this certification concerns only the 60-day requirement for a rollover and that, to complete the rollover, you must comply with all other tax law requirements for a valid rollover and with Fidelity's rollover procedures.
- Certify that pursuant to IRS Revenue Procedure 2020-46, unless Fidelity has actual knowledge to the contrary, Fidelity may rely on this certification to show that you have satisfied the conditions for a waiver of the 60-day rollover requirement for the amount identified above. Fidelity may not rely on this certification in determining whether the contribution satisfies other requirements for a valid rollover.
- Warrant and represent that all information you provided herein is true and correct, and that the IRS has not previously denied a request for a waiver of the 60-day rollover requirement with respect to a rollover of all or part of the distribution to which this contribution relates.
- Understand that in the event you are audited and the IRS does not grant a waiver for this contribution, you may be subject to income and excise taxes, interest, and penalties.
- Understand that if the contribution is made to an IRA, Fidelity will be required to report the contribution to the IRS. You also understand that you should retain a copy of this signed certification with your tax records.
- Understand that this certification is for tax-reporting purposes only and that Fidelity's acceptance of this certification is in no way an acceptance of liability in connection with your selection in Section 4.

PRINT OWNER NAME	
OWNER SIGNATURE	DATE MM/DD/YYYY
SIGN X	X

Did you sign the form?

Send the form to Fidelity Investments.

Questions?

Call 800-343-3548.

Regular mail

Fidelity Investments
PO Box 770001
Cincinnati, OH 45277-0002

Overnight mail

Fidelity Investments
100 Crosby Parkway KC1K
Covington, KY 41015

On this form, "Fidelity" means Fidelity Brokerage Services LLC and its affiliates. Brokerage services are provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 776445.3.0 (11/20)